

1 International Journal of Image and Graphics
 Vol. 7, No. 3 (2007) 1–17
 3 © World Scientific Publishing Company



5 **LOCAL GABOR BINARY PATTERNS BASED ON MUTUAL
 INFORMATION FOR FACE RECOGNITION**

7 WENCHAO ZHANG

*School of Computer Science and Technology
 Harbin Institute of Technology
 Harbin, 150006, P. R. China
 ieeecv@gmail.com*

11 SHIGUANG SHAN*, XILIN CHEN[†] and WEN GAO[‡]

*ICT-ISVISION FRJDL, Institute of Computing Technology
 Chinese Academy of Science, Beijing, 100080, P. R. China*

**sgshan@jdl.ac.cn*

†xlchen@jdl.ac.cn

‡wgao@jdl.ac.cn

17 Received 11 November 2006

Revised 18 March 2007

19 Accepted 19 March 2007

21 Appropriate representation is one of the keys to the success of face recognition technolo-
 23 gies. In this paper, we present a novel face representation approach based on a reduced
 25 set of local histograms based on Local Gabor Binary Patterns (LGBP). In the proposed
 27 method, a face image is first represented by the local Gabor binary patterns (LGBP) his-
 29 tograms which are extracted from the LGBP images. Then, the local LGBP histograms
 with high separability and low relevance are selected to obtain a dimension-reduced face
 descriptor. Extensive experimental results demonstrate that the proposed method not
 only greatly reduces the dimensionality of face representation, but also outperforms the
 state-of-the-art approaches for face recognition, such as Fisherfaces, and Gabor Fisher
 Classification (GFC).

31 *Keywords:* Local binary patterns (LBP); Gabor wavelets; mutual information; Fisher
 linear discriminant; local Gabor binary patterns (LGBP).

1. Introduction

33 Face recognition has been one of the most challenging and active research topics in
 35 computer vision for several decades due to its scientific values and wide potential
 37 applications. Much progress has been made in the last decade.^{1,2} However, the gen-
 39 eral problem of face recognition remains unsolved, since most of the systems to date
 can only successfully recognize faces when images are obtained under constrained
 conditions. Their performance will degrade abruptly when face images are captured
 under varying lighting conditions, poses, expressions, ages and so on.

2 *W. Zhang et al.*

1 In earlier works, geometric feature-based methods³⁻⁷ have been widely inves-
2 tigated. Feature-based methods use properties and relations (e.g. distances and
3 angles) between facial features, such as eyes, mouth, nose, and chin to perform
4 recognition. One of the most successful systems is elastic bunch graph matching
5 (EBGM) system,⁶ which is robust to illumination change, translation, distortion,
6 rotation, and scaling. Although the feature-based representation methods are insen-
7 sitive to variations in illumination and pose, precise alignment and facial feature
8 extraction process, however, are critical for their performance.

9 Later, appearance-based methods have been introduced which use low dimen-
10 sional representations of objects to perform recognition.⁸⁻¹⁵ Eigenfaces⁸ and
11 Fisherfaces¹² have demonstrated the power of appearance-based methods both in
12 ease of implementation and in recognition accuracy. Their performance, however,
13 will be degraded when the distribution of the test images is different from that of
14 the training images.

15 One of the main difficulties for face recognition arises from large within-class
16 variations (due to illumination, facial expression, aging) and rather small between-
17 class variations (due to similarity of individual appearances) in human face images.
18 These variations include the local variations (e.g. wrinkles appearing at the mouth
19 corner) and the global variations (e.g. lighting can change the whole variation of
20 face image). Therefore, robust face representation against facial variations is critical
21 for a practical face recognition system.

22 Recently, local binary patterns (LBP) operator has been successfully used for
23 face detection¹⁶ and recognition.¹⁷ Face representation with LBP encodes both
24 the local and global information by a concatenated LBP histogram. Facial feature
25 extracted by the LBP operator is robust to illumination variations because the LBP
26 features are invariant to the monotonic gray-scale changes. The authors reported the
27 state-of-the-art results on the FERET face database. However, under the condition
28 of varying lighting and aging, its performance is still not satisfactory.

29 Since multiresolution histograms could improve the performance of object
30 classification,¹⁸ meanwhile, Gabor based face representation is robust to illumina-
31 tion variations⁶ and efficient to describe local image features.¹⁹ We have proposed
32 combining Gabor wavelets with LBP operator to represent face image to obtain
33 robust feature against facial variations. The combining operator is termed as local
34 Gabor binary patterns (LGBP)²⁰ operator. In this method, firstly, we obtain the
35 multiresolution images by convolving the face image with multi-scale and multi-
36 orientation Gabor filters. Secondly, LBP operator is conducted on the multireso-
37 lution images to obtain the LGBP images. Thirdly, local histograms are extracted
38 from the LGBP images and all the local LGBP histograms are concatenated into
39 one histogram to represent the given face image. Experimental results have demon-
40 strated that the performance of face recognition with LGBP is superior to both of
41 LBP-based approach¹⁷ and Gabor-based approach.¹⁹

42 However, face representation with LGBP is high dimensional due to the multiple
43 Gabor transformations of LGBP operator. Thus further dimensionality reduction

1 is necessary after obtaining the LGBP histograms. There are two major categories
 2 of methods of dimensionality reduction, feature selection and feature transform.
 3 *Feature selection* methods keep only useful features and discard others. *Feature*
 4 *transform* methods construct new features out of the original ones.

5 In this paper, we propose to use the mutual information between the features as
 6 a criterion for dimensionality reduction to select the effective discriminant feature.
 7 Meanwhile, the separability of features is determined by Fisher linear discriminant
 8 between the class labels and the features. The approach considers the separability of
 9 each local LGBP histogram and the relevance between the local LGBP histograms.
 10 By selecting the local LGBP histograms with high separability and low relevance,
 11 this approach not only can reduce the dimensionality of face representation with
 12 LGBP, but also can bring impressive performance improvement for face recognition.

13 The rest of this paper is organized as follows. Section 2 presents the face rep-
 14 resentation and recognition with LGBP. Section 3 introduces how to select the
 15 effective discriminant features based on Fisher linear discriminant and mutual infor-
 16 mation. Section 4 reports on the experimental results. Finally, Sec. 5 concludes the
 17 paper.

19 2. Local Gabor Binary Patterns for Face Representation and 20 Recognition

21 In this section, we first briefly introduce Gabor wavelets for face representation
 22 and LBP operator. Then, we describe the local Gabor binary patterns (LGBP)
 23 operator. In the last two subsections, we give face representation and recognition
 using LGBP.

25 2.1. Gabor wavelets for face representation

26 The 2D Gabor wavelets can be defined as follows²¹:

$$\psi_{\nu,\mu}(z) = \frac{\|k_{\nu,\mu}\|^2}{\sigma^2} e^{(-\|k_{\nu,\mu}\| \|z\| 2\sigma^2)} [e^{ik_{\nu,\mu}z} - e^{-\sigma^2/2}], \quad (1)$$

27 where ν and μ define the scale and orientation of the Gabor wavelets, $z = (x, y)$, $\|\cdot\|$
 28 denotes the norm operator, and the wave vector $k_{\nu,\mu} = k_{\nu} e^{i\phi_{\mu}}$, where $k_{\nu} = k_{\max}/\lambda^{\nu}$
 29 and ϕ_{μ} is the orientation parameter, λ is the spacing factor between wavelets in the
 frequency domain.

31 The Gabor transformation of a face image can be obtained by convolving the
 32 face image with the Gabor wavelets. Let $f(x, y)$ be the intensity of a face image,
 33 the convolution of $f(x, y)$ with a Gabor wavelet $\psi_{\nu,\mu}(x, y)$ can be defined as:

$$O_{\nu,\mu}(x, y) = f(x, y) * \psi_{\nu,\mu}(x, y), \quad (2)$$

35 where $*$ denotes the convolution operator. The magnitudes of the convolution slowly
 36 vary across the whole face image. This property implies that face representation
 37 using the magnitudes of Gabor transformation is insensitive to local variations in

4 *W. Zhang et al.*

1 face image. Thus, only the magnitudes are considered in this study. To obtain
 2 multi-resolution Gabor features, five different scales $\nu \in \{0, 1, \dots, 4\}$ and eight
 3 orientations $\phi_\mu = \pi\mu/8$, $\mu = 0, 1, \dots, 7$ Gabor filters are used, as in.^{19,22-24}

2.2. Local binary patterns

5 The original LBP operator, first proposed by Ojala *et al.*²⁵ is a powerful means of
 6 texture descriptor.²⁶ The basic version of the LBP operator labels the pixels of an
 7 image by thresholding the neighborhood of each pixel with the center value and
 8 considering the result as a binary string.

9 Figure 1 shows an example of the convolution results, i.e. the 40 Gabor “images”
 10 of the magnitudes.

11 Formally, as shown in Fig. 2(a), let the eight neighbors of the center pixel located
 12 at (x_c, y_c) be (x_p, y_p) , $p = 0, 1, \dots, 7$. The LBP pattern at (x_c, y_c) is calculated as:

$$13 \quad LBP(x_c, y_c) = \sum_{p=0}^7 S(f(x_p, y_p) - f(x_c, y_c))2^p, \quad (3)$$

14 where

$$15 \quad S(A) = \begin{cases} 1, & A \geq 0 \\ 0, & A < 0 \end{cases}.$$

16 Intuitively, the p th bit stands for the order relationship between the center
 17 pixel and its p th neighbor. The LBP approach codifies the occurrence of some
 18 micro-patterns, such as spot, edge, corner, etc. Figure 3 shows the LBP image of
 19 an example face image.

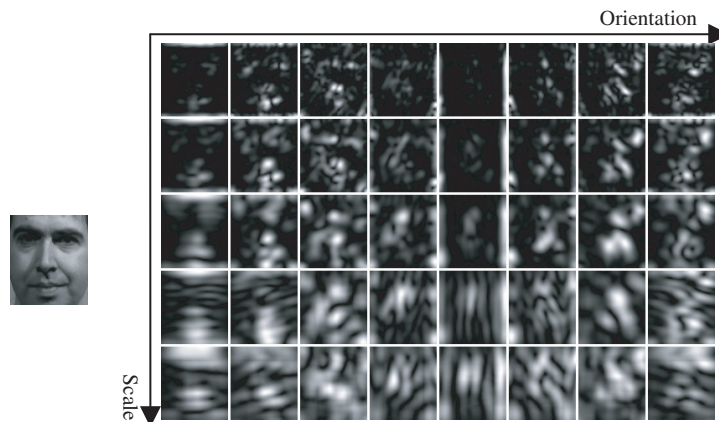


Fig. 1. Gabor representation of a sample image.

0	1	2
7		3
6	5	4

2^0	2^1	2^2
2^7		2^3
2^6	2^5	2^4

(a) The position order of p . (b) The corresponding weight.

Fig. 2. LBP operator.



(a) A face image. (b) The LBP image.

Fig. 3. The LBP image of a sample image.

2.3. Local Gabor binary patterns

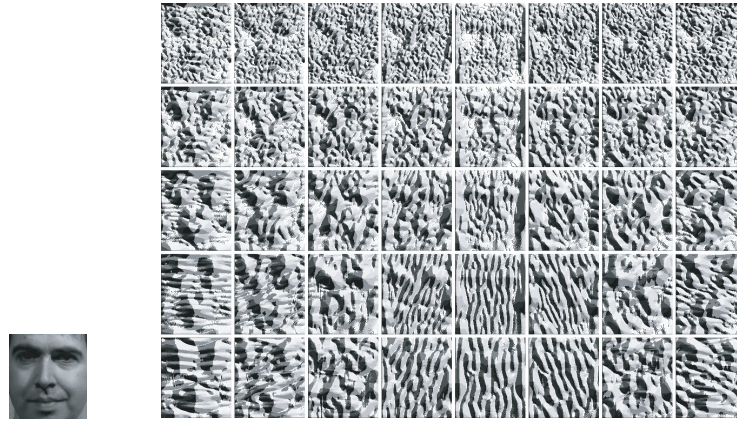
A signal can be decomposed into different frequency sub-bands by applying Gabor transformation. Thus the signals which can not be classified in a certain scale will be distinguished in some other frequencies. Meanwhile, the fine local features of the signal can be extracted by Gabor transformations. In addition, Gabor filter can smooth the noise in signal to some degree. It is a reasonable way to combine Gabor and LBP to improve the performance of LBP for face representation.

Given a face image, its features are first extracted by convolving the image with multiple Gabor filters at different scales and orientations. Then, LBP operator is exploited to encode the micro-patterns of the Gabor features. The combining of Gabor and LBP operator is called Local Gabor Binary Pattern (LGBP) operator. Formally, the LGBP operator is defined as:

$$LGBP_{\nu,\mu}(x_c, y_c) = \sum_{p=0}^7 S(O_{\nu,\mu}(x_p, y_p) - O_{\nu,\mu}(x_c, y_c))2^p, \quad (4)$$

where $\psi_{\nu,\mu}$ is the Gabor filter, ν and μ are the scale and orientation parameter respectively, as explained in Eq. (1).

Thus, by enumerating the 40 Gabor filters (5 scales and 8 orientations), 40 LGBP images can be obtained. Figure 4 shows the visualization of the LGBP images of an input face image.

6 *W. Zhang et al.*

(a) A face image. (b) The visualization of LGBP images.

Fig. 4. LGBP images of a sample image.

1 **2.4. Face representation with LGBP**

2 Histogram is used to collect up the occurrences of different patterns in LGBP
 3 image. To avoid the loss of spatial information in face representation by his-
 4 togram, we divide the LGBP image into non-overlapping multi-regions and
 5 extract histogram from each sub-region. All the histograms are concatenated
 6 into a single histogram to represent the given face image. Assume each LGBP
 7 image is divided into n regions, and $H_{\nu,\mu,r}$ denotes the histogram of (ν,μ,r) th
 8 region. Then, the final face representation by LGBP can be denoted as $H =$
 9 $(H_{0,0,0}, \dots, H_{0,0,n-1}, H_{0,1,0}, \dots, H_{0,1,n-1}, \dots, H_{4,7,n-1})$. Figure 5 shows the face rep-
 10 resentation with LGBP.

11 **2.5. Face recognition with LGBP**

12 This section presents how LGBP representation is applied to face recognition. His-
 13 togram interaction is used to measure the similarity of different histograms. The

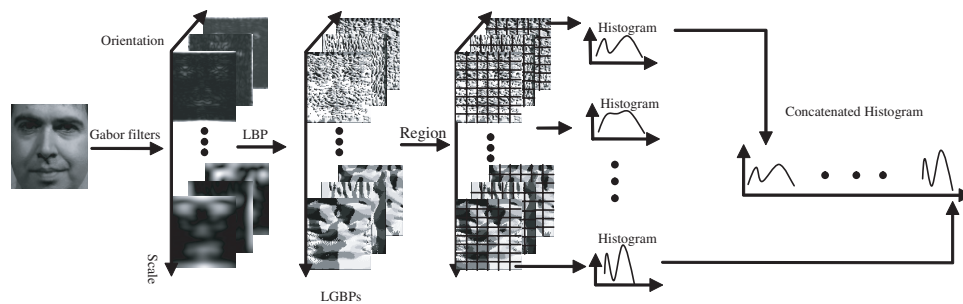


Fig. 5. Face representation with LGBP.

1 advantage of histogram intersection is that features occurred in one of the his-
 2 tograms are neglected. So the influence of the variation in different images of the
 3 same subject can be reduced further.

The intersection measurement of two histograms²⁷ can be defined as:

$$4 \quad I(\mathbf{h}^1, \mathbf{h}^2) = \sum_{i=0}^{L-1} \min(\mathbf{h}_i^1, \mathbf{h}_i^2), \quad (5)$$

5 where \mathbf{h}^1 and \mathbf{h}^2 denote histogram and L is the number of histogram bins. Using
 6 this measurement, the similarity of two face images represented by LGBP is:

$$7 \quad S(H^1, H^2) = \sum_{\nu=0}^4 \sum_{\mu=0}^7 \sum_{r=0}^{R-1} I(H_{\nu,\mu,r}^1, H_{\nu,\mu,r}^2), \quad (6)$$

8 where H^1 and H^2 denote two LGBP histograms.

3. An Effective Discriminant Feature Selection

11 As has mentioned above, though LGBP achieves very impressive recognition rate
 12 for face recognition, its representation concatenating a great number of local his-
 13 tograms is relatively too high dimensional from the point of view of both storage and
 14 classifier designing. Therefore, in this section, we further propose method of feature
 15 selection based on mutual information to reduce the dimensionality of LGBP-based
 face representation.

3.1. Separability analysis based on fisher linear discriminant

17 Previous works show that some facial areas contain more discriminative information
 18 than others in terms of distinguishing between subjects.² To take advantage of these
 19 cues, a weight can be set to each area based on its contribution to classification. In
 20 this case, the similarity of two face images represented by weighted LGBP can be
 21 defined as:

$$22 \quad S'(H^1, H^2) = \sum_{\nu=0}^4 \sum_{\mu=0}^7 \sum_{r=0}^{R-1} W_{\nu,\mu,r} (I(H_{\nu,\mu,r}^1, H_{\nu,\mu,r}^2)), \quad (7)$$

23 where H^1 and H^2 denote two LGBP histograms, $W_{\nu,\mu,r}$ denotes the weight of the
 24 (ν, μ, r) th region.

25 In this study, the weight of each region is learned by Fisher linear discriminant²⁸
 26 which can achieve the purpose of high separability between different patterns. It
 27 is generally believed that the similarities of different images from the same subject
 28 are higher than those of from the different subjects. Based on this intuition, we
 29 define two distinct and mutually exclusive classes: Ω_b representing the similarities
 30 of inter-class (corresponding to the similarity between two local region LGBP his-
 31 tograms extracted from two images of different subjects) and Ω_w representing the

8 *W. Zhang et al.*

1 similarities of intra-class (corresponding to the similarity between two local region
LGBP histograms extracted from two images of one subject).

The similarities mean $m_{w,(\nu,\mu,r)}$ and variance $S_{w,(\nu,\mu,r)}^2$ of intra-class Ω_w can be defined as:

$$m_{w,(\nu,\mu,r)} = \frac{1}{N_w} \sum I(H_{\nu,\mu,r}^i, H_{\nu,\mu,r}^j), \quad (8)$$

$$S_{w,(\nu,\mu,r)}^2 = \frac{1}{N_w} \sum (I(H_{\nu,\mu,r}^i, H_{\nu,\mu,r}^j) - m_{w,(\nu,\mu,r)})^2, \quad (9)$$

3 where $H_{\nu,\mu,r}^i$ and $H_{\nu,\mu,r}^j$ denote the (ν, μ, r) th local region histograms from two
images of the same subject, N_w is the number of sample in intra-class.

The similarities mean $m_{b,(\nu,\mu,r)}$ and variance $S_{b,(\nu,\mu,r)}^2$ of inter-class can be defined as:

$$m_{b,(\nu,\mu,r)} = \frac{1}{N_b} \sum I(H_{\nu,\mu,r}^i, H_{\nu,\mu,r}^j), \quad (10)$$

$$S_{b,(\nu,\mu,r)}^2 = \frac{1}{N_b} \sum (I(H_{\nu,\mu,r}^i, H_{\nu,\mu,r}^j) - m_{b,(\nu,\mu,r)})^2, \quad (11)$$

5 where $H_{\nu,\mu,r}^i$ and $H_{\nu,\mu,r}^j$ denote the (ν, μ, r) th local region histograms from two
images of the different subjects, N_b is the number of samples in inter-class. The
7 region with higher separability should have higher difference of $m_{b,(\nu,\mu,r)}$ and
 $m_{w,(\nu,\mu,r)}$ and lower sum of $S_{w,(\nu,\mu,r)}^2$ and $S_{b,(\nu,\mu,r)}^2$. Therefore, the weight of each
9 region can be set by Fisher linear discriminant:

$$W_{\nu,\mu,r} = \frac{(m_{w,(\nu,\mu,r)} - m_{b,(\nu,\mu,r)})^2}{S_{w,(\nu,\mu,r)}^2 + S_{b,(\nu,\mu,r)}^2}. \quad (12)$$

11 The higher value of $W_{\nu,\mu,r}$ represents the greater class separability of the region.
The method is represented as F_LGBP.

13 **3.2. Feature selection based on mutual information**

15 The multi-scale and multi-orientation Gabor transformations lead to high dimen-
sional face representation using LGBP. Moreover, the relevance between the local
LGBP histograms also disturbs the performance. To reduce computational bur-
17 den and improve the performance, effective components for classification should be
selected from local LGBP histograms using the feature selection methods.

19 Since mutual information does not need to assume the distribution of the data
and overcomes many limitations of feature selection approaches, it has been used
21 widely in feature selection. However, face recognition is a multi-class classification
problem and each class has only a few samples. The lack of samples in high dimen-
23 sional space leads to the difficulty in estimating the sample probability density.
But when the multi-class classifier transforms to within-class and between-class
25 (two classes), as described in Sec. 3.1, the density of samples will enhance, and the
estimation of probability density will be more accurate. Therefore, we define the
27 importance of feature by considering the separability of regions learned from Fisher

1 linear discriminant criterion and the mutual information among regions to select
 2 important regions for classification.

3 We first briefly introduce the definition of mutual information. An ensemble X
 4 is a triple (x, A_X, P_X) , where the outcome x is the value of a random variable,
 5 which takes on one of a set of possible values, $A_X = \{a_1, a_2, \dots, a_i, \dots, a_I\}$, having
 6 probabilities $P_X = \{p_1, p_2, \dots, p_I\}$. The Shannon information content of a random
 7 variable x is defined to be $h(x) = -\log_2 P(x)$. The entropy of an ensemble X is
 8 defined to be the average Shannon information content of a random x ³⁰:

$$9 \quad H(X) = \sum_{x \in A_X} -P(x) \log P(x). \quad (13)$$

10 The conditional entropy of X given Y is the average, over y , of the conditional
 11 entropy of X given y :

$$12 \quad \begin{aligned} H(X|Y) &= \sum_{y \in A_Y} P(y) \left[\sum_{x \in A} -P(x|y) \log P(x|y) \right] \\ &= \sum_{xy \in A_X A_Y} -P(x, y) \log P(x|y) \end{aligned} \quad (14)$$

13 The mutual information between X and Y is defined as:

$$14 \quad I(X; Y) = H(X) - H(X|Y). \quad (15)$$

15 Since evaluating mutual information between two scalar variables is feasible
 16 through histograms, local LGBP histogram extracted from a region is used to denote
 17 its probability. Let f_i denote the histogram extracted from a region of an LGBP
 18 image and F is a feature set. We define the relevance of different regions as:

$$19 \quad rel(f_m, f_n) = \frac{I(f_m; f_n)}{\max(I(f_k; f_l))}, \quad \text{for all } k \neq l. \quad (16)$$

20 Though the histogram-based mutual information estimation works with two or
 21 even three variables, it fails in higher dimensions due to the lack of data in high-
 22 dimensional spaces for histogram-based estimation. Considering the limitation, we
 23 use the maximum relevance of the feature and all the other features in the feature set
 24 instead of calculating the mutual information of multiple variables. The relevance
 25 between $f_m \notin F_s$ and F_s can be defined as:

$$26 \quad rel(f_m, F_s) = \max(rel(f_m, f_k)), \quad \text{for } \forall f_k \in F. \quad (17)$$

27 Considering the weight W_m and the relevance between features, we define the
 28 effectiveness of discriminant feature as:

$$29 \quad W_m^*(f_m) = W_m \times (1 - rel(f_m, F_s)), \quad (18)$$

30 and denote it as FM_LGBP.

31 Thus, the similarity of two face images can be calculated as:

$$32 \quad S'(H^1, H^2) = \sum_{\nu=0}^4 \sum_{\mu=0}^7 \sum_{r=0}^{n-1} W_{\nu, \mu, r}^* (I(H_{\nu, \mu, r}^1, H_{\nu, \mu, r}^2)). \quad (19)$$

33 The flow chart of the proposed algorithm is shown in Fig. 6.

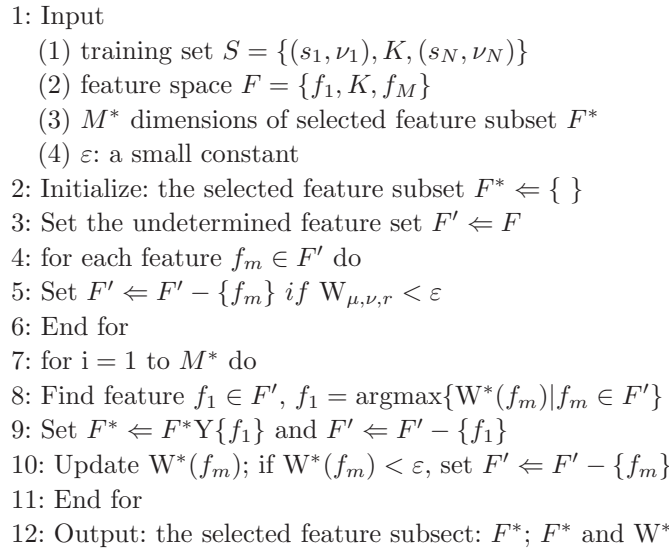
10 *W. Zhang et al.*

Fig. 6. The flow chart of the effective feature selection algorithm.

1 4. Experiments

3 4.1. The effectiveness of the proposed approach

3 To verify the effectiveness of the proposed approach, we first test the variation of
5 the recognition rates under the condition of the number of the selected local LGBP
7 histograms with W^* from high to low. The experiment is conducted on the FERET
9 face database.

7 The FERET face database²⁹ contains training set, gallery and probe sets. The
9 training set which is used to train the model for face representation contains 1002
11 frontal images of 429 subjects. The gallery contains the set of the known individuals
13 which consist of 1196 subjects with one image per subject. The probe set contains
15 the unknown individuals, and it is used to test the face recognition algorithm. The
17 **FB** (facial expression) probe set contains 1195 probe images taken on the same day
19 and under the same illumination conditions as the corresponding gallery images.
21 The **fc** probe set contains 194 images taken on the same day as the corresponding
gallery images, but with a different camera and lighting condition. The duplicate
I and duplicate II probe sets contain 722 and 234 duplicate (aging) frontal images
in the FERET face database for the gallery images. Figure 7 shows some example
images of the FERET face database.

19 In our experiments, a face image is geometrically and photometrically normalized
21 as an image patch of 80×88 pixels. In order to keep more spatial information, we
choose a smaller region with the size of 4×8 pixels. Therefore, there are 220
regions in each LGBP image and 8800 regions for face representation by LGBP.

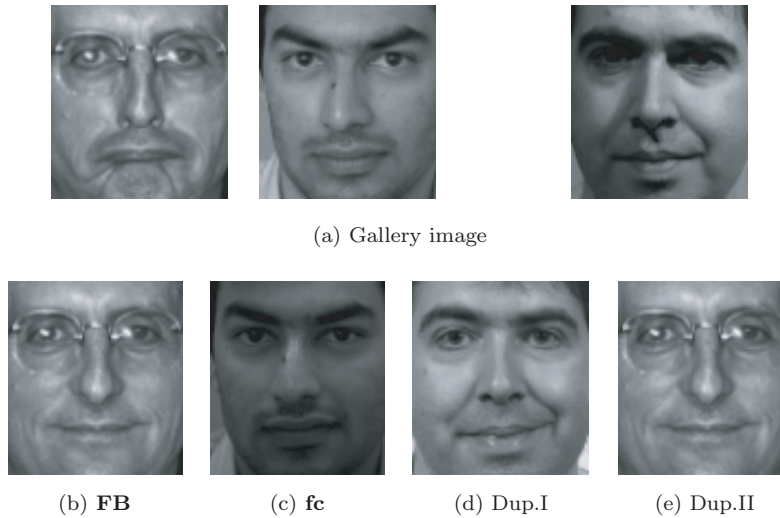
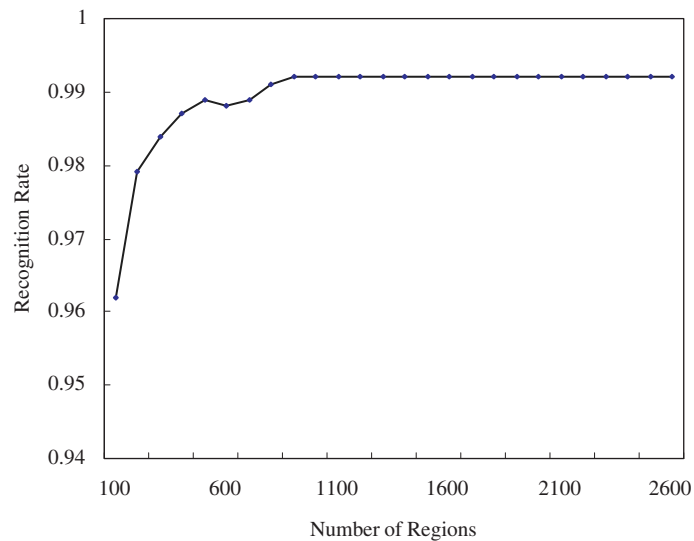


Fig. 7. Example images in the FERET face database.

Fig. 8. The recognition rate with the variation of the feature dimension on **FB** set.

1 For classification, a nearest-neighbor classifier is used. The recognition result on **FB** set is shown in Fig. 8.

3 From Fig. 8, we can see that the recognition rate increases with the number
 5 of the selected regions (the number of the local LGBP histograms) increasing.
 Using fewer local LGBP histograms which have higher W^* , we could achieve good
 performance for recognition.

12 *W. Zhang et al.*

1 **4.2. Experiments on the FERET face database**

2 To validate the proposed approach, we conduct experiments on the FERET face
 3 database using the standard FERET evaluation protocol.²⁹ We compare the per-
 4 formance of the proposed approach with Fisherfaces,¹² Gabor-Fisher Classifier
 5 (GFC),¹⁹ as well as the best results of FERET97,²⁹ the best results of LBP.¹⁷ For
 6 Fisherfaces, GFC, and the proposed approach, they are all trained on the FERET
 7 training set. The experimental results are given in Table 1.

8 From Table 1, it can be seen that the proposed FM_LGBP method outperforms
 9 all the other approaches on all the probe sets. For instance, under different lighting
 10 conditions (fc set), FM_LGBP has achieved the best performance with recognition
 11 rate of 98% against 79%, 82%, 84% and 73% for LBP, best of FERET97, GFC, and
 12 Fisherfaces, respectively.

13 Additionally, the LGBP-based approach also performed better than the oth-
 14 ers on recognizing duplicate faces (when the face images are taken later in time).
 15 Moreover, the dimension of face representation with FM_LGBP is only about 6%
 16 of F_LGBP, while the recognition rates of FM_LGBP are all higher than those of
 17 F_LGBP. Especially on duplicate I and duplicate II, the recognition rates improve
 18 5% and 9% respectively.

19 **4.3. Experiments on the CAS-PEAL face database**

20 To further verify the effectiveness of the proposed approach, we conduct the exper-
 21 iment on the CAS-PEAL face database. The CAS-PEAL face database³¹ contains
 22 1040 subjects and the protocol of CAS-PEAL face database is the same as to
 23 the FERET face database. The training set involves 1200 images of 300 subjects.
 24 The gallery contains 1040 subjects with one image per subject. The experiment
 25 is conducted on the expression set, which includes different facial expressions and
 26 consists of 1570 images of 377 subjects. Figure 9 shows the example images in the
 27 CAS-PEAL face database. The experimental results are shown in Table 2.

28 From the results of Table 2, it can be seen that FM_LGBP also outperforms all
 29 the other methods. The result of F_LGBP is higher than that of LGBP. So, we can
 see that not only the separability analysis based on Fisher linear discriminant is

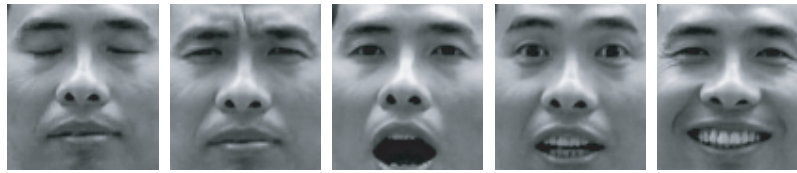
Table 1. The recognition rates of different methods on the FERET face database.

Methods	FB	fc	Duplicate I	Duplicate II
Fisherfaces	0.94	0.73	0.55	0.31
GFC	0.95	0.84	0.67	0.61
FERET97[29]*	0.96	0.82	0.59	0.52
LBP[17]*	0.97	0.79	0.66	0.64
LGBP	0.94	0.97	0.68	0.53
F_LGBP	0.98	0.97	0.74	0.71
FM_LGBP	0.99	0.98	0.79	0.80

Note: *denotes the results are cited directly from the original paper.



(a) gallery image.



(b) Expression images.

Fig. 9. Example images of the CAS-PEAL face database.

Table 2. The recognition rates of different methods on the CAS-PEAL face database.

Methods	Expression
Fisherfaces	0.803
GFC	0.942
LGBP	0.946
F_LGBP	0.948
FM_LGBP	0.952

1 effective for classification, but the feature selection based on the relevance and the
2 separability analysis also improve the performance.

3 5. Conclusion

4 In this paper, we proposed a novel face recognition approach using the effective
5 LGBP representation. A face image is first described by a concatenated LGBP
6 histogram. Then, the local LGBP histograms with high separability and low rele-
7 vance between local LGBP histograms are selected. The method not only signifi-
8 cantly reduces the dimension of face representation using LGBP histogram, but also
9 improves the performance of face recognition. Since the proposed approach encodes
10 global information as well as local information of a face, it is robust to variation
11 of expression, illumination, and aging. Experiments on the standard FERET face
12 database and the CAS-PEAL face database show the effectiveness of the proposed
13 approach.

Acknowledgment

14 This research is partially sponsored by the Natural Science Foundation of China
15 under contract No.60332010, No.60673091 and No.6047304311, Natural Science

14 W. Zhang et al.

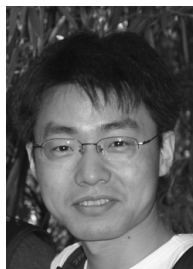
1 Foundation of Beijing City (No. 4061001), “100 Talents Program” of CAS, Hi-Tech
2 Research and Development Program of China (No.2006AA01Z122), the program
3 for New Century Excellent Talents in University (NCET-04-0320) and ISVISION
Technologies Co., Ltd.

5 References

- 7 1. R. Chellappa, C. L. Wilson and S. Sirohey, “Human and machine recognition of faces:
A survey,” *Proc. IEEE*, **83**(5), 705–740 (1995).
- 9 2. W. Zhao, R. Chellappa, P. J. Phillips and A. Rosenfeld, “Face recognition: A literature
survey,” *ACM Computing Surveys* **35**(4), 399–458 (2003).
- 11 3. B. S. Manjunath, R. Chellappa and C. von der Malsburg, “A feature based approach
to face recognition,” *Proc. 1992 IEEE Conf. on Computer Vision and Pattern Recog-
nition (CVPR 1992)*, pp. 373–378 (1992).
- 13 4. R. Brunelli and T. Poggio, “Face recognition: Features vs. templates,” *IEEE Trans.
Pattern Anal. and Machine Intell.* **15**(10), 1042–1053 (1993).
- 15 5. S. Z. Li and J. Lu, “Face recognition using nearest feature line,” *IEEE Trans. Neural
Networks* **10**(2), 439–443 (1999).
- 17 6. L. Wiskott, J. M. Fellous, N. Krüger and C. von der Malsburg, “Face recognition by
elastic bunch graph matching,” *IEEE Trans. Pattern Anal. and Machine Intell.* **9**(7),
19 775–779 (1997).
- 21 7. I. J. Cox, J. Ghosn and P. N. Yianilos, “Feature-based face recognition using mixture-
distance,” *Proc. 1996 IEEE Conf. on Computer Vision and Pattern Recognition
(CVPR 1996)*, pp. 209–216 (1996).
- 23 8. M. Turk and A. Pentland, “Eigenfaces for recognition,” *J. Cognitive Neuroscience*
3(1), 71–96 (1991).
- 25 9. M. Kirby and L. Sirovich, “Application of the Karhunen-Loeve procedure for the
characterization of human faces,” *IEEE Trans. Pattern Anal. and Machine Intell.*
27 **12**(1), 103–108 (1990).
- 29 10. B. Moghaddam and A. Pentland, “Probabilistic visual learning for object representa-
tion,” *IEEE Trans. Pattern Anal. and Machine Intell.* **19**(7), 696–710 (1997).
- 31 11. B. Moghaddam, T. Jebara and A. Pentland, “Bayesian face recognition,” *Pattern
Recognition* **33**(11), 1771–1782 (2000).
- 33 12. P. N. Belhumeur, J. P. Hespanha and D. J. Kriegman, “Eigenfaces vs. fisherfaces:
Recognition using class specific linear projection,” *IEEE Trans. Pattern Anal. and
Machine Intell.* **19**(7), 711–720 (1997).
- 35 13. D. L. Swets and J. Weng, “Using discriminant eigenfeatures for image retrieval,” *IEEE
Trans. Pattern Anal. and Machine Intell.* **18**(8), 831–836 (1996).
- 37 14. W. Zhao, R. Chellappa and A. Krishnaswamy, “Discriminant analysis of principal
components for face recognition,” *Proc. 1998 Int’l Conf. on Automatic Face and Ges-
39 ture Recognition (AMFG1998)*, pp. 336–341 (1998).
- 41 15. M. S. Bartlett, H. M. Lades and T. Sejnowski, “Independent component represen-
tation for face recognition,” *Proceedings, SPIE Symposium on Electronic Imaging:
Science and Technology*, pp. 528–539 (1998).
- 43 16. A. Hadid, M. Pietikäinen and T. Ahonen, “A discriminative feature space for detecting
and recognizing faces,” *Proc. 2004 IEEE Conf. on Computer Vision and Pattern
45 Recognition (CVPR04)* **2**, 797–804 (2004).
- 47 17. T. Ahonen, A. Hadid and M. Pietikäinen, “Face recognition with local binary pat-
terns,” *Proc. 2004 European Conference on Computer Vision (ECCV2004), Lecture
Notes in Computer Science 3021*, Springer **1**, 469–481 (2004).

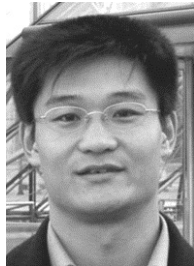
- 1 18. E. Hadjidemetriou, M. D. Grossberg and S. K. Nayar, “Multiresolution histograms
3 and their use for recognition,” *IEEE Trans. Pattern Anal. and Machine Intell.* **26**(7),
831–847 (2004).
- 5 19. J. Liu and H. Wechsler “Gabor feature based classification using the enhanced Fisher
7 linear discriminant model for face recognition,” *IEEE Trans. on Image Processing*
11(4), 467–476 (2002).
- 9 20. W. Zhang, S. Shan, W. Gao, X. Chen and H. Zhang, “Local Gabor binary pattern
11 histogram sequence (LGBPHS): A novel non-statistical model for face representation
13 and recognition,” *Tenth IEEE International Conference on Computer Vision*, pp. 786–
15 791 (2005).
- 17 21. M. Lades, J. C. Vorbruggen, J. Buhmann, J. Lange, C. von der Malsburg,
19 R. P. Wurtz and W. Konen, “Distortion invariant object recognition in the dynamic
21 link architecture,” *IEEE Trans. on Computers* **42**(3), 300–311 (1993).
- 23 22. J. Jones and L. Palmer, “An evaluation of the two-dimensional Gabor filter model
25 of simple receptive fields in cat striate cortex,” *J. Neurophysiology* **58**(6), 1233–1258
(1987).
- 27 23. D. Field, “Relations between the statistics of natural images and the response prop-
29 erties of cortical cells,” *J. Optical Soc. Amer. A* **4**(12), 2379–2394 (1987).
- 31 24. D. Burr, M. Morrone and D. Spinelli, “Evidence for edge and bar detectors in human
33 vision,” *Vision Research* **29**(4), 419–431 (1989).
- 35 25. T. Ojala, M. Pietikäinen and D. Harwood, “A comparative study of texture measures
37 with classification based on featured distribution,” *Pattern Recognition* **29**(1), 51–59
(1996).
26. T. Ojala, M. Pietikäinen and T. Mäenpää, “Multiresolution gray-scale and rotation
invariant texture classification with local binary patterns,” *IEEE Trans. on Pattern
Analysis and Machine Intelligence* **24**(7), 971–987 (July 2002).
27. M. Swain and D. Ballard, “Color indexing,” *Int. J. Computer Vision* **7**(1), 11–32
(1991).
28. R. Duda, P. Hart and D. Stork, *Pattern Classification*, USA, Wiley Interscience, 2nd
edition (2001).
29. P. J. Phillips, H. M. Syed, A. Rizvi and P. J. Rauss, “The FERET evaluation method-
ology for face-recognition algorithms,” *IEEE Trans. Pattern Anal. and Machine Intell.*
20(10), 1090–1104 (2000).
30. D. J. MacKay, *Information Theory, Inference, and Learning Algorithms*, Cambridge
University Press (2003).
31. W. Gao, B. Cao, S. Shan, X. Zhang and D. Zhou, “The CAS-PEAL large-scale Chinese
face database and baseline evaluations,” *IEEE Trans. Systems, Man, and Cybernetics,
Part A*, accepted.

39



41

Wenchao Zhang received his PhD degree in Computer Science from Harbin Institute of Technology, China, 2007. His research interests include image processing, computer vision, face recognition.



Shiguang Shan received his MS degree in computer science from Harbin Institute of Computing Technology, Harbin, China, in 1999, and his PhD degree in computer science from Institute of Computing Technology Chinese Academy of Sciences, Beijing, China, in 2004.

He is currently an associate researcher and serves as the vice director of Digital Media Center of Institute of Computing Technology, CAS. He is also the vice-director of ICT-ISVision Joint R&D Lab for Face Recognition. His research interests cover image analysis, pattern recognition, and computer vision. He is especially focusing on face recognition related research topics.



Xilin Chen received the BS, MS and PhD degrees in Computer Science from Harbin Institute of Technology, China, in 1988, 1991 and 1994 respectively. He is a professor at Harbin Institute of Technology from 1999. He is a Visiting Scholar at Carnegie Mellon University from 2001 to 2004. He joined the Institute of Computing Technology, Chinese Academy of Sciences in August 2004.

Dr. Chen has served as a program committee member for more than 20 international and national conferences. He received several awards, including thrice National Scientific and Technological Progress Award in 2000, 2003, and 2005 respectively, for his research work. His research interests are image processing, pattern recognition, computer vision and multimodal interface. He is a member of the IEEE and IEEE Computer Society.



Wen Gao (M'92-SM'05) received his BS and MS degrees in computer science from Harbin University of Science and Technology and Harbin Institute of Technology, China, in 1982 and 1985 respectively, and PhD in electronics engineering from the University of Tokyo, Japan, in 1991. He was with the Harbin Institute of Technology from 1985, served as lecturer, professor, and head of department of computer science until 1995. He was with Institute of Computing Technology, Chinese Academy of Sciences, from 1996 to 2005. During his professor career in Chinese Academy of Sciences, he was also appointed as the director of Institute of Computing Technology, executive vice president of Graduate School, as well as the vice president of University of Science and Technology of China.

He is currently a professor at the School of Electronics Engineering and Computer Science, Peking University, China. He is the editor-in-chief of Journal of Computer (in Chinese), associate editor of *IEEE Trans. on Circuit System for*

1 *Video Technology*, and editor of *Journal of Visual Communication and Image*
3 *Representation*. He published four books and over 300 technical articles in ref-
5 eered journals and proceedings in the areas of multimedia, video compression, face
recognition, sign language recognition and synthesis, image retrieval, multimodal
interface, and bioinformatics. He earned Chinese National Award for Science and
Technology Achievement in 2000, 2002, 2003 and 2005 respectively.